ANNEX 1

RESOLUTION MSC.453(100) (adopted on 7 December 2018)

AMENDMENTS TO THE CODE OF SAFETY FOR SPECIAL PURPOSE SHIPS (SPS CODE)

THE MARITIME SAFETY COMMITTEE,

RECALLING Article 28(b) of the Convention on the International Maritime Organization concerning the functions of the Committee,

RECALLING ALSO resolution A.534(13), by which the Assembly, at its thirteenth session, adopted the Code of Safety for Special Purpose Ships ("the SPS Code"),

RECALLING FURTHER that the Assembly authorized it to amend the SPS Code as may be necessary,

RECALLING amendments to the SPS Code adopted by:

- .1 circular MSC/Circ.446, which became effective on 13 October 1986;
- .2 circular MSC/Circ.478, which became effective on 28 July 1987;
- .3 circular MSC/Circ.739, which became effective on 28 June 1996; and
- .4 resolution MSC.183(79), which became effective on 1 July 2006,

NOTING that it adopted, at its ninety-ninth session, amendments to SOLAS chapter IV and the appendix (Certificates) by resolution MSC.436(99),

HAVING CONSIDERED, at its 100th session, consequential amendments to the Record of Equipment of the SPS Code,

1 ADOPTS amendments to the SPS Code, the text of which is set out in the annex to the present resolution, also incorporating the amendments previously adopted by circular MSC/Circ.739 (which includes the amendments adopted by MSC/Circ.446 and MSC/Circ.478) and resolution MSC.183(79), and the consequential amendments to the Record of Equipment;

2 DETERMINES that the said consequential amendments to the Record of Equipment should become effective on 1 January 2020, in conjunction with the entry into force of amendments to SOLAS chapter IV and the appendix (Certificates) adopted by resolution MSC.436(99).

ANNEX

AMENDMENTS TO THE CODE OF SAFETY FOR SPECIAL PURPOSE SHIPS (SPS CODE) (RESOLUTION A.534(13))

1 The existing text of section 1.2 is amended to read as follows:

"Except as provided in 8.3, the Code applies to every new special purpose ship of not less than 500 gross tonnage. The Administration may also apply these provisions as far as reasonable and practicable to special purpose ships of less than 500 gross tonnage."

2 The existing paragraph 1.3.4 is amended to read as follows:

"1.3.4 Except as provided in 8.3, "special purpose ship" means a mechanically self-propelled ship which, by reason of its function, carries on board more than 12 special personnel including passengers. Special purpose ships to which this Code applies include the following types:

- .1 ships engaged in research, expeditions and survey;
- .2 ships for training of marine personnel;
- .3 whale and fish factory ships not engaged in catching;
- .4 ships processing other living resources of the sea, not engaged in catching; and
- .5 other ships with design features and modes of operation similar to ships referred to in .1 to .4 which in the opinion of the Administration may be referred to this group."
- 3 The existing chapter 8 is replaced with the following:

"CHAPTER 8 – LIFE-SAVING APPLIANCES^{*}

8.1 The requirements of chapter III of the 1974 SOLAS Convention, as amended, should be applied with the specifications given hereunder.

8.2 A special purpose ship carrying more than 50 special personnel should comply with the requirements contained in chapter III of the 1974 SOLAS Convention for passenger ships engaged in international voyages which are not short international voyages.

8.3 Notwithstanding the provisions of 8.2, sail training ships, whether mechanically self-propelled or not and irrespective of their gross tonnage, carrying more than 50 special personnel (trainees), may in lieu of meeting the requirements of regulations 20.1.1, 20.1.2 or 20.1.3 of chapter III of the 1974 SOLAS Convention:

All references in this chapter are references to regulations of the 1974 SOLAS Convention, as amended in 1983.

- .1 comply with the requirements of regulation 20.1.5 of chapter III of the 1974 SOLAS Convention including the provision of at least one rescue boat in accordance with regulation 20.2.2 of chapter III; and
- .2 in addition, carry one immersion suit complying with regulation 33 of chapter III of the 1974 SOLAS Convention for each person on board, unless:
 - .1 davits are provided for launching the liferafts; or
 - .2 the ship is constantly engaged on voyages in warm climates where, in the opinion of the Administration, immersion suits are unnecessary.

8.4 A special purpose ship carrying not more than 50 special personnel should comply with the requirements contained in chapter III of the 1974 SOLAS Convention for cargo ships other than tankers. Such ships may however carry life-saving appliances in accordance with 8.2, if they comply with the subdivision requirements for ships carrying more than 50 special personnel.

8.5 Regulations 2, 18.3.3, 20.1.2, 20.1.3, 26.1.6, 26.1.7, 45 and 46 of chapter III of the 1974 SOLAS Convention are not applicable to special purpose ships.

8.6 Where in chapter III of the 1974 SOLAS Convention the term "passenger" is used, it should be read to mean "special personnel" for the purpose of this Code."

4 The existing chapter 9 is replaced with the following:

"CHAPTER 9 – RADIOCOMMUNICATIONS

Special purpose ships should comply with the provisions of chapter IV of the 1974 SOLAS Convention, as amended."

5 The existing "Form of Safety Certificate for Special Purpose Ships" is replaced with the revised form given in the attached appendix which has been supplemented by a "Record of Equipment for Special Purpose Ship Safety Certificate (Form SPS)". MSC 100/20/Add.1 Annex 1, page 4

APPENDIX

FORM OF SAFETY CERTIFICATE FOR SPECIAL PURPOSE SHIPS

SPECIAL PURPOSE SHIP SAFETY CERTIFICATE

This Certificate should be supplemented by a Record of Equipment (Form SPS)

(Official seal)

(State)

Issued in compliance with the provisions of the

CODE OF SAFETY FOR SPECIAL PURPOSE SHIPS

under the authority of the Government of

(name of the State)

by

(person or organization authorized)

Particulars of ship*
Name of ship
Distinctive number or letters
Port of registry
Gross tonnage
Sea areas in which ship is certificated to operate (SOLAS regulation IV/2)
IMO number**
Ship's special purpose
Date on which keel was laid or ship was of a similar stage of construction or, where applicable, date on which work for a conversion or an alteration or modification of a major character was commenced

^{*} Alternatively, the particulars of the ship may be placed horizontally in boxes.

^{**} Refer to the IMO Ship Identification Number Scheme, adopted by the Organization by resolution A.1117(30).

THIS IS TO CERTIFY:

- 1 That the ship has been surveyed in accordance with the requirements of regulation 1.6 of the Code.
- 2 That the survey showed that:
 - 2.1 the ship complied with the provisions of the Code as regards:
 - .1 the structure, main and auxiliary machinery, boilers and other pressure vessels; and
 - .2 the watertight subdivision arrangements and details;
 - 2.2 the ship complied with the provisions of the Code as regards structural fire protection, fire safety systems and appliances, and fire control plans;
 - 2.3 the life-saving appliances and the equipment of the lifeboats, liferafts and rescue boats were provided in accordance with the provisions of the Code;
 - 2.4 the ship was provided with a line-throwing appliance and radio installations used in life-saving appliances in accordance with the provisions of the Code;
 - 2.5 the ship complied with the provisions of the Code as regards radio installations;
 - 2.6 the functioning of the radio installations used in life-saving appliances complied with the provisions of the Code;
 - 2.7 the ship complied with the provisions of the Code as regards shipborne navigational equipment, means of embarkation for pilots and nautical publications;
 - 2.8 the ship was provided with lights, shapes and means of making sound signals and distress signals, in accordance with the provisions of the Code and the International Regulations for Preventing Collisions of Sea in force; and
 - 2.9 in all other respects the ship complied with the relevant provisions of the Code.
- 3 That an Exemption Certificate has/has not^{*} been issued.
- 4 That the ship has/has not^{*} been provided with Certificates issued under the 1974 SOLAS Convention, as amended.

Delete as appropriate.

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This certificate is valid until

(Date of issue)

(Signature of authorized official issuing the certificate)

(Seal or stamp of the issuing authority, as appropriate)

ENDORSEMENT FOR ANNUAL SURVEYS RELATING TO HULL, MACHINERY AND EQUIPMENT REFERRED TO IN SECTION 2.1 OF THIS CERTIFICATE

THIS IS TO CERTIFY that, at a survey required by 1.6 of the Code, the ship was found to comply with the relevant provisions of the Code.

Annual survey:	Signed (Signature of authorized official)
	Place
	Date
(Seal or stamp of the Author	ity, as appropriate)
Annual survey:	Signed (Signature of authorized official)
	Place
	Date
(Seal or stamp of the Author	ity, as appropriate)
Annual survey:	Signed (Signature of authorized official)
	Place
	Date
(Seal or stamp of the Author	ity, as appropriate)
Annual survey:	Signed (Signature of authorized official)
	Place
	Date

(Seal or stamp of the Authority, as appropriate)

ENDORSEMENT FOR ANNUAL AND PERIODICAL SURVEYS RELATING TO LIFE-SAVING APPLIANCES AND OTHER EQUIPMENT REFERRED TO IN SECTIONS 2.2, 2.3, 2.4, 2.6, 2.7, 2.8 AND 2.9 OF THIS CERTIFICATE

THIS IS TO CERTIFY that, at a survey required by 1.6 of the Code, the ship was found to comply with the relevant provisions of the Code.

	Annual survey:	Signed(Signature of authorized official)
		Place
		Date
	(Seal or stamp of the Author	ty, as appropriate)
	Annual/periodical [*] survey:	Signed (Signature of authorized official)
		Place
		Date
	(Seal or stamp of the Author	ty, as appropriate)
	Annual/periodical [*] survey:	Signed (Signature of authorized official)
		Place
		Date
	(Seal or stamp of the Author	ty, as appropriate)
/	Annual survey:	Signed (Signature of authorized official)
		Place
		Date
	(Seal or stamp of the Author	ty, as appropriate)

Delete as appropriate.

ENDORSEMENT FOR PERIODICAL SURVEYS RELATING TO RADIO INSTALLATIONS REFERRED TO IN SECTION 2.5 OF THIS CERTIFICATE

THIS IS TO CERTIFY that, at a survey required by 1.6 of the Code, the ship was found to comply with the relevant provisions of the Code:

Periodical survey:	Signed (Signature of authorized official)
	Place
	Date
(Seal or stamp of the Auth	nority, as appropriate)
Periodical survey:	Signed (Signature of authorized official)
	Place
	Date
(Seal or stamp of the Auth	nority, as appropriate)
Periodical survey:	Signed (Signature of authorized official)
	Place
	Date
(Seal or stamp of the Auth	nority, as appropriate)
Annual survey:	Signed (Signature of authorized official)
	Place
	Date
(Seal or stamp of the Auth	nority, as appropriate)
ENDORSEMENT FOR THE EXTER	NSION OF THE CERTIFICATE
The ship complies with the relevant provisions accordance with 1.7.3, be accepted as valid unti	
	Signed (Signature of authorized official)
	Place
(Seal or stamp of the Auth	Date

ANNEX

RECORD OF EQUIPMENT FOR THE SPECIAL PURPOSE SHIP SAFETY CERTIFICATE (FORM SPS)

This Record should be permanently attached to the Special Purpose Ship Safety Certificate.

RECORD OF EQUIPMENT FOR COMPLIANCE WITH THE CODE OF SAFETY FOR SPECIAL PURPOSE SHIPS

1 **Particulars of ship**

Name of ship

Distinctive number or letters

Number of persons on board (including passengers) for which certified

Minimum number of persons on board with required qualifications to operate the radio installations

2 Details of life-saving appliances

1	Total number of persons for which life-saving appliances are provided		
		Port side	Starboard side
2	Total number of lifeboats		
2.1	Total number of persons accommodated by them		
2.2	Number of partially enclosed lifeboats (SOLAS regulation III/42)		
2.3	Number of self-righting partially enclosed lifeboats (SOLAS regulation III/43)		
2.4	Number of totally enclosed lifeboats (SOLAS regulation III/44)		
2.5	Other lifeboats		
2.5.1	Number		
2.5.2	Туре		

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3	Number of motor lifeboats (included in the total lifeboats shown above)	
3.1	Number of lifeboats fitted with searchlights	
4	Number of rescue boats	
4.1	Number of boats which are included in the total lifeboats shown above	
5	Liferafts	
5.1	Those for which approved launching appliances are required	
5.1.1	Number of liferafts	
5.1.2	Number of persons accommodated by them	
5.2	Those for which approved launching appliances are not required	
5.2.1	Number of liferafts	
5.2.2	Number of persons accommodated by them	
6	Buoyant apparatus	
6.1	Number of apparatus	
6.2	Number of persons capable of being supported	
7	Number of lifebuoys	
8	Number of lifejackets	
9	Immersion suits	
9.1	Total number	
9.2	Number of suits complying with the requirements for lifejackets	
10	Number of thermal protective aids*	
11	Radio installations used in life-saving appliances	
11.1	Number of radar transponders	
11.2	Number of two-way VHF radiotelephone apparatus	

^{*} Excluding those required by SOLAS regulations III/38.5.1.24, III/41.8.31 and III/47.2.2.13.

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3 **Details of radio facilities**

1Primary systems		Item	Actual provision
1.1.1 1.1.2 DSC watch receiver 1.1.3 Radiotelephony	1	Primary systems	
1.1.2DSC watch receiver	1.1	VHF radio installation	
1.2.1 1.2.2 DSC watch receiver 1.2.3 Radiotelephony	1.1.2	DSC watch receiver	· · · · · · · · · · · · · · · · · · ·
1.2.2DSC watch receiver Radiotelephony	1.2	MF radio installation	
1.3.1DSC encoder1.3.2DSC watch receiver1.3.3Radiotelephony1.3.4Direct-printing radiotelegraphy1.4Ship earth station providing a recognized mobile satellite service2Secondary means of alerting3Facilities for reception of maritime safety information3.1NAVTEX receiver3.2EGC receiver3.3HF direct-printing radiotelegraph receiver4Satellite EPIRB4.1COSPAS-SARSAT5VHF EPIRB	1.2.2 1.2.3	DSC watch receiver Radiotelephony	· · · · · · · · · · · · · · · · · · ·
2Secondary means of alerting	1.3.1 1.3.2 1.3.3	DSC encoder DSC watch receiver Radiotelephony	· · · · · · · · · · · · · · · · · · ·
3Facilities for reception of maritime safety information	1.4	Ship earth station providing a recognized mobile satellite service	
3.1NAVTEX receiver EGC receiver 3.33.2EGC receiver HF direct-printing radiotelegraph receiver4Satellite EPIRB4.1COSPAS-SARSAT5VHF EPIRB	2	Secondary means of alerting	
3.2 3.3EGC receiver HF direct-printing radiotelegraph receiver4Satellite EPIRB4.1COSPAS-SARSAT5VHF EPIRB	3	Facilities for reception of maritime safety information	
4.1 COSPAS-SARSAT 5 VHF EPIRB	3.2	EGC receiver	
5 VHF EPIRB	4	Satellite EPIRB	
	4.1	COSPAS-SARSAT	
6 Ship's radar transponder	5	VHF EPIRB	
	6	Ship's radar transponder	

4 Methods used to ensure availability of radio facilities (SOLAS regulations IV/15.6 and 15.7)

- 4.1 Duplication of equipment
- 4.2 Shore-based maintenance
- 4.3 At-sea maintenance capability
- 5 Special Purpose Ships constructed before 1 February 1995 which do not comply with all the applicable requirements of chapter IV of the SOLAS Convention, as amended^{*}

	Requirements of regulations	Actual provision
Hours of listening by operator		
Number of operators		
Whether auto alarm fitted		
Whether main installation fitted		
Whether reserve installation fitted		
Whether main and reserve transmitters		
electrically separated or combined		

6 Special Purpose Ships constructed before 1 February 1992 which do not comply with the applicable requirements of chapter III of the SOLAS Convention, as amended^{**}

	Actual provision
Radiotelegraph installation for lifeboat	
Portable radio apparatus for survival craft	
Survival craft EPIRB (121.5 MHz and 243.0 MHz)	
Two-way radiotelephone apparatus	

THIS IS TO CERTIFY that this Record is correct in all respects.

Issued at

(Place of issue of the Record)

(Date of issue)

(Signature of authorized official issuing the Record)

(Seal or stamp of the issuing authority, as appropriate)

^{*} This section need not be reproduced on the record attached to certificates issued after 1 February 1999.

^{**} This section need not be reproduced on the record attached to certificates issued after 1 February 1995.